

BROKER/DISTRIBUTOR	Sub Broker Name & Code	Employee Unique ID. No. (EUIN)	Time Stamp No. (For office use only)
ARN-97821	Kindly affix your Sub Broker ARN stamp	E113814	

☐ I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.

"Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor."

Transaction Charges (please tick as applicable. Refer to KIM)

☐ I AM A FIRST TIME INVESTOR IN MUTUAL FUNDS

(₹ 150/- will be deducted as transaction charge on Subscription of ₹10,000/- and more)

☐ I AM AN EXISTING INVESTOR IN MUTUAL FUNDS

(₹ 100/- will be deducted as transaction charge per Subscription of ₹10,000/- and more)

Transaction charges will be applicable to the investors for purchase transaction through Distributor/ Agent. Please refer to the detailed terms and conditions w.r.t. transaction charges given in KIM.

KYC is mandatory for ALL investments irrespective of the amount.

### I. For Existing Unitholders

First Unitholder		Existing Folio No.	
Sole / First Applicant / Guardian		Second Applicant	
PAN No.*		PAN No.*	
<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)		<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)	
Photo Identification**		Photo Identification**	
* Mandatory - Enclose self/broker attested PAN Card Copy.		** Photo Identification Copy for Micro SIP only - please refer to instruction.	
Third Applicant			
PAN No.*		PAN No.*	
<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)		<input type="checkbox"/> KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)	
Photo Identification**		Photo Identification**	

\* Mandatory - Enclose self/broker attested PAN Card Copy.

++ Photo Identification Copy for Micro SIP only - please refer to instruction.

## 2. For New Investor - Applicant's Details (Mandatory)

Sole / First Applicant	
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3. ☐ **Systematic Investment Plan (SIP) Details** OR ☐ **Micro SIP Details** (please ✓ whichever is applicable)

Scheme Name   
 Plan ☐ Standard ☐ Direct ☐ Growth\* ☐ Dividend Payout ☐ Dividend Reinvestment ☐ Bonus  
 SIP Frequency (Please ✓) ☐ Monthly\* OR ☐ Quarterly SIP Date (Please ✓) ☐ 1<sup>st</sup> OR ☐ 7<sup>th</sup>\* OR ☐ 14<sup>th</sup> OR ☐ 21<sup>st</sup> OR ☐ All four dates  
 Installment Amount (₹)   
 First SIP Cheque No.  Dated   
 Drawn on (Bank / Branch Name)   
 SIP Auto Debit Period (The first Auto Debit should be at least 30 days after the first SIP transaction date) Start Date From  End Date<sup>(1)</sup> To   
 (Last Installment)  
<sup>(1)</sup> If no End Date is specified SIP will continue for 50 years.

\* Default Option will be applied in case of no information, ambiguity or discrepancy.

#### 4. Payment Bank Details

Source of Payment (from which the above investment is made)

First / Sole Holder's Bank Account ☐ **Mandatory (any one):** ☐ Cheque leaf with name pre-printed ☐ Bank Statement ☐ Pass Book ☐ Bank Certificate

OR

Third Party's Bank Account ☐

Relationship with the Holder: ☐ Parents/Grand-Parents/related persons ☐ Employer on behalf of employee ☐ Custodian on behalf of an FII or a client

**Mandatory documents required:** ☐ KYC Acknowledgment Letter ☐ Third Party Declaration Form

Document attached (Any one) ☐ Cancelled cheque leaf with Name pre-printed ☐ Bank Statement ☐ Pass Book ☐ Bank Certificate

**5. Particulars of Bank Account (From which money will be debited)**

Account holder name as in Bank Account																			
Bank name																			
Account No.										Branch Address									
City										MICR Code									
										M A N D A T O R Y									
										(This is a 9 Digit Number next to your Cheque Number)									
For NRI / FI Investors, please indicate account type of your remittance (please ✓)										<input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Others									
Enclosed (please ✓)										Please specify									
<input type="checkbox"/> Account Debit <input type="checkbox"/> Foreign Inward Remittance Certificate <input type="checkbox"/> Others																			

I/We hereby declare that the particulars given above are correct and express my/our willingness to make payments referred above through direct debit / participation in ECS, if the transaction is delayed or not effected at all for reasons of incomplete or incorrect information. I/We hold the bank account mentioned above as owner(s) of the bank account. If I/we have appointed a service provider or other representatives responsible, I/we will also inform them. FineBridge Investments Asset Management Company (India) Private Limited about any changes in my/our bank account. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/we have read and understood the Terms and Conditions w.r.t. transaction charges as applicable for purchase transaction. I/we declare that we do not have any existing IPO SIPs which together with the current application will result in aggregate investments exceeding ₹ 50 Lakhs in a year. I/we have read and agreed to the terms and conditions mentioned overleaf. EUNJ. I/we hereby confirm that the above information is true and correct to the best of our knowledge and belief. I/we authorize the company manager/sales person of the distributor to provide the above distributor / nonwithstanding the advice of its representative, if any provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

**SIGNATURE(S) (ALL ACCOUNT HOLDERS MUST SIGN HERE)**

First Account Holder's Signature ( <i>As in Bank Records</i> )	Second Account Holder's Signature ( <i>As in Bank Records</i> )	Third Account Holder's Signature ( <i>As in Bank Records</i> )
<b>FOR OFFICE USE ONLY (Not to be filled in by investor)</b>		
Recorded on  Credit A/c. No.	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Recorded by

**6. Authorisation of Bank Accountholder(s) (to be signed by the Accountholder)**

This is to inform that I/We have registered for ECS/Electronic Clearing Service (Debit Clearing) and that my/our payment towards investment in FineBridge Mutual Fund shall be made from my/our below-mentioned bank account. I/We hereby authorize FineBridge Investments Asset Management Company (India) Private Limited (Investment Manager) to collect the investment amount from my/our bank account and invest the same in the investment scheme(s) of FineBridge Mutual Fund. I/We hereby authorize FineBridge Investments Asset Management Company (India) Private Limited (Investment Manager) to provide and represent carrying this ECS Mandate Form get it verified and enable I/We have my/our authorize FineBridge Investments Asset Management Company (India) Private Limited (Investment Manager) to FineBridge Mutual Fund, acting through their authorised service providers to debit my/our following bank account by ECS (Debit Clearing) for collection of SIP payments;

**NAME(S) & SIGNATURE(S) OF BANK ACCOUNT HOLDER(S) AS IN BANK RECORDS**

Account Number <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>									
Name of First Account Holder (As in Bank Records) <input type="text"/>			Name of Second Account Holder (As in Bank Records) <input type="text"/>			Name of Third Account Holder (As in Bank Records) <input type="text"/>			
First Account Holder's Signature (As in Bank Records) <input type="text"/>			Second Account Holder's Signature (As in Bank Records) <input type="text"/>			Third Account Holder's Signature (As in Bank Records) <input type="text"/>			